

## RESEARCH ARTICLE

# Inspiratory muscle strength and six-minute walking distance in heart failure: Prognostic utility in a 10 years follow up cohort study

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**Data Availability Statement:** As a real life cohort, data cannot be shared publicly, according to Hospital de Clínicas de Porto Alegre HIPAA policies. According to the institutional policy, authors are unable to share data given the concern about potential compromise of patient confidentiality or participant privacy. However, data can be accessed upon request directly to the Hospital de Clínicas de Porto Alegre Ethics Committee ([cep@hcpa.edu.br](mailto:cep@hcpa.edu.br)) which can be facilitated through the senior author. The data

## Abstract

### Background

Maximal inspiratory pressure ( $PI_{max}$ ) and 6-minutes walk distance test (6MWD) may be more available and feasible alternatives for prognostic assessment than cardiopulmonary testing. We hypothesized that the  $PI_{max}$  and 6MWD combination could improve their individual accuracy as risk predictors. We aimed to evaluate  $PI_{max}$  ability as a mortality predictor in HF and whether the combination to 6MWD could improve risk stratification.

### Methods

Prospective cohort from HF Clinics of three University Hospitals.  $PI_{max}$ , 6MWD and  $pVO_2$  were obtained at baseline. The end point was all cause mortality.

### Results

Consecutive 256 individuals (50% woman,  $57.4 \pm 10.4$  years) with low ejection fraction (LVEF) ( $31.8 \pm 8.6\%$ ) were followed up to 10 years. During a median follow-up of 34.7 (IQR 37) months, 110 participants died. Mean  $\pm$  SD values were:  $pVO_2$   $14.9 \pm 5.1$  mL/kg/min,  $PI_{max}$   $5.5 \pm 1.3$  kPa and 6MWD  $372 \pm 118$  m. In multivariate Cox regression,  $pVO_2$ ,  $PI_{max}$ , 6MWD and LVEF were independent mortality predictors. The  $pVO_2$  showed gold standard accuracy, followed by  $PI_{max}$  (AUC = 0.84) and 6MWD (AUC = 0.74). Kaplan-Meier mean survival time (MST  $\pm$  SE) for lower ( $\leq 5.0$  kPa) and higher ( $> 6.0$  kPa)  $PI_{max}$  tertiles, were  $37.9 \pm 2.8$  months and  $105.0 \pm 5.2$  months respectively, and addition of 6MWD did not re-stratify risk. For intermediate  $PI_{max}$  tertile, MST was  $81.5 \pm 5.5$  months, but adding 6MWD, MST was lower ( $53.3 \pm 7.6$  months) if distance was  $\leq 350$  m and higher ( $103.1 \pm 5.7$  months) for longer distances.

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## Conclusion

$PI_{max}$  is an independent mortality predictor in HF, more accurate than 6MWD and LVEF. Addition of 6MWD empowers risk stratification only for intermediate  $PI_{max}$  tertile. Although less accurate than  $pVO_2$ , this simpler approach could be a feasible alternative as a prognostic assessment.

## Introduction

The assessment of prognostic markers in heart failure (HF) supports therapeutic decisions[1], and promotes open communication between clinicians and patients on the goals of therapy [2]. Impaired functional capacity is related to prognosis in HF in a severity-dependent manner. The peak oxygen consumption ( $pVO_2$ ) is a reference functional measure from the cardiopulmonary exercise test (CPX), able to predict the likelihood of death in HF[3, 4]. Nevertheless, CPX is not available in all healthcare settings, such as in middle to low income countries, due to relatively expensive technology and required professional expertise. In such scenarios, clinicians need to resort to alternative methods to estimate risk.

One widely adopted option to CPX is the six-minutes walk distance test (6MWD). An inexpensive test that reproduces functional capacity for submaximal activities and provides prognostic information in different etiologies of HF[5]. Yet, coexisting morbidities, cognition deficits, among other cofactors can influence reproducibility and limit extrapolation of its prognostic power[6]. Alternatively, the maximal inspiratory pressure ( $PI_{max}$ ) is a low-cost metric of inspiratory muscle strength—a reliable marker for estimated maximal work of breathing- and is related to disease severity in chronic HF.  $PI_{max}$  independently predicts mortality in HF[7–9] and can be obtained in individuals unable to perform an exercise test[10], despite relatively underused in clinical practice. However, it has not been determined if the combination of  $PI_{max}$  and 6MWD methods can improve risk stratification in HF compared to their individual performances or how comparable this combination is to  $pVO_2$ .

Therefore, in a long-term HF cohort, our aims were: 1) to determine whether the combination of  $PI_{max}$  to 6MWD could improve risk stratification in HF; and 2) to define the accuracy of their combination to predict mortality, compared to  $pVO_2$ . We hypothesized that combining  $PI_{max}$  to 6MWD improves their accuracy as mortality risk predictors.

## Methods

### Participants and design

This prospective cohort recruited a total of 256 consecutive participants referred to Heart Failure and Transplant Clinics at three University Hospitals from the State of Rio Grande do Sul-Brazil, where data was collected between January/2001 and December/2009. The local ethics committee (Hospital de Clínicas de Porto Alegre, protocol number 08–589) approved the study and all participants signed an informed consent form.

All evaluations were undertaken on outpatient basis and data were part of standard care. The inclusion criteria to be enrolled in the cohort were:  $\geq 18$  years, HF from any etiology, left ventricular ejection fraction (LVEF)  $< 50\%$  by echocardiography. HF was diagnosed by cardiologists through clinical assessment, considering current or prior signs and symptoms of HF syndrome<sup>1</sup> and a low ejection fraction at enrollment, irrespective of etiology. All participants were previously sedentary ( $< 150$  minutes of moderate physical activity/week). They should be

clinically compensated and on stable pharmacologic treatment for at least 3 months previously to enrollment. We excluded patients already engaged on cardiac rehabilitation programmes, or previously diagnosed moderate to severe chronic pulmonary disease, dialytic renal failure or other severe illnesses with reduced life-expectancy (particularly acquired immunodeficiency syndrome or cancer), those unable to walk unassisted or unable to exercise because of noncardiac limitations.

At baseline, individuals underwent a cardiology consult, electrocardiogram, laboratory tests and echocardiography. Medications in use were ascertained at baseline only. Based upon their clinical condition, they returned every 3, 6 or 12 months. All patients were classified as classes C or D of the American Heart Association (AHA)[1]. The outcome of interest was overall mortality. Vital status was evaluated directly from patients or their relatives, on hospital visits, from hospital records, by telephone contact or assessing yearly a local state death certificate database.

### Six minute-walk distance test

Participants performed a 6MWD test at baseline, according to established American Thoracic Society Guidelines[11]. After resting seated for 10 minutes, they were instructed to walk as fast and as long as possible, in a 30meters obstacle-free corridor, limited by turnaround cones. Standardized verbal encouragement was given every minute. After 6 min, they were instructed to stop, and the total distance was measured, rounding to the nearest meter.

### Inspiratory muscle strength

Inspiratory muscle function test was performed using a digital pressure transducer (MVD-500 V.1.1 Microhard System, Globalmed, Porto Alegre, Brazil), connected to a system with two unidirectional valves (DHD Inspiratory Muscle Trainer, Chicago, Illinois)[12]. Maximal static inspiratory pressure ( $PI_{max}$ ) was determined in deep inspiration from the residual volume, against an occluded airway with a minor air leak (2 mm). The highest value of six measurements was used for analysis. Reference values considered age, gender, and weight[13].

### Cardiopulmonary exercise test (CPX)

Cardiologists conducted a maximal incremental (10W/min ramp) exercise test, performed on an electrically braked cyclergometer (ER-900, Ergoline, Jaeger, Wurzburg, Germany). Pedaling frequency was maintained at 60rpm. The test was terminated upon fatigue, cardiovascular symptoms, evidence of ischemia or arrhythmia. Before each test, the device was calibrated using reference 3L volume syringe and prespecified gases. Heart rate, minute ventilation, oxygen uptake ( $VO_2$ , STPD), carbon dioxide production ( $VCO_2$ , STPD) and other CPX variables were acquired breath-by-breath (Metalyzer 3B, CPX System, Cortex, Leipzig, Germany). Due to baseline oscillations and to expected oscillatory breathing in some patients, measures were averaged over 10second intervals for standardized analysis[14]. Peak  $VO_2$  (mL/kg/min) was defined as the highest value achieved during the test[14]. The oxygen uptake efficiency slope (OUES) was calculated as the slope of the regression between minute ventilation (log10) and  $VO_2$ . The 10-second averaged  $PETCO_2$  at maximal exercise was also determined[15].

### Statistical approach

Data were reported as mean $\pm$ SD or absolute numbers and percentages as applied. Cox regression was used to estimate the relationship of  $PI_{max}$  and 6MWD and overall mortality, adjusted to potential confounders to the association of interest:  $pVO_2$ , LVEF, New York Heart

Association (NYHA) classes I and II, use of angiotensin converting enzyme inhibitors (ACEi) or angiotensin receptor blocker (ARB), use of betablockers, ischaemic etiology, age, implantable devices, serum creatinine and atrial fibrillation. Such adjustments are associated to morbidity or mortality in HF or could influence the performance on the functional tests considered in this study. Assessment of the proportional hazard assumption was performed using residual plots against rank time. Receiver operating characteristic (ROC) curves were constructed to determine the accuracy of  $PI_{max}$  and 6MWD as individual measures, and as a combined model, to discriminate mortality. Hanley & McNeil test was used to compare Areas Under the Curves (AUC). Kaplan-Meier analysis were subsequently performed, from enrollment date until the last registry of follow-up or death. For this analysis, tertiles of  $PI_{max}$  were used: highest ( $>6.0$ kPa); lowest ( $\leq 5$ kPa); and the intermediate ( $>5.0$  and  $\leq 6.0$ kPa). This categorization was preferred for clinical applicability, accounting to potential variations in  $PI_{max}$  value from different sites and to variability of inspiratory muscle weakness criteria[16]. While for 6MWD, dichotomization considered the best cut-off value from ROC curve ( $\leq 350$ m or  $>350$ m). We further determined if adding the 6MWD performance to  $PI_{max}$  could improve risk stratification, compared to isolated  $PI_{max}$ . Time to death is expressed as mean $\pm$ SE with respective 95% confidence interval and compared by the log-rank test.

To identify potential sources of variability we performed Kaplan-Meier analysis comparing male *versus* female, diabetic *versus* non-diabetic and those with *versus* without previous stroke, for  $PI_{max}$  and 6MWD strata. Additionally, we used an unpaired t-test to compare means of the main variables between two age groups ( $<65$  and  $\geq 65$  years). The p-value used to reject null hypothesis was  $<0.05$ . Power of Cox regression was calculated *a posteriori* (*stpower cox* STATA command). Microsoft Excel 2010, IBM-SPSS version 20 and STATA version 14.2 for Mac were used.

## Results

### Cohort characteristics

Of the 256 participants, half were women, aged  $57\pm 10$ years (Table 1). LVEF ranged from 10% to 49% and averaged 32%. Ischaemic etiology was the most frequent, followed by idiopathic cause (22%). No patient underwent cardiac transplantation. At baseline, most participants presented NYHA classes I or II, and  $>70\%$  used ARB/ACEi and/or beta-blockers. Eighty-five patients (33.2%) achieved more than 70% of predicted  $PI_{max}$ . Survivors averaged  $6.1\pm 1.1$ kPa and non-survivors  $4.6\pm 1.1$ kPa of  $PI_{max}$ ,  $412\pm 99$ m and  $319\pm 121$ m for 6MWD and  $33\pm 8\%$  and  $30\pm 9\%$  for LVEF respectively. Average  $pVO_2$  was  $19\pm 3$ mL/kg/min for survivors and  $10\pm 2$ mL/kg/min for non-survivors. On 6MWD, 139 patients (55.6%) reached at least 350 meters. Median follow up was 34.7 months (25-75<sup>th</sup> percentile 21.9–58.9). Total of 110 patients (43%) died.

### Risk predictors and accuracy

Four variables remained independent in the multivariate Cox regression model:  $pVO_2$ ,  $PI_{max}$ , 6MWD, LVEF (Table 2). Accounting for all covariates,  $pVO_2$  and  $PI_{max}$  showed each 23 and 24% lower likelihood of death per increase in measured unit (mL/kg/min and kPa, respectively), per month of observation. Residual plots showed no significant interaction between rank time and  $pVO_2$ ,  $PI_{max}$ , LVEF or 6MWD (S1 Fig). Considering the hazard ratio relative to  $PI_{max}$ , adjusted for the independent variables; the distribution of  $PI_{max}$ ; the overall mortality rate; and the sample size, the observed power was 0.94.

Individually,  $pVO_2$  showed gold-standard accuracy in discriminating mortality (S1 Table), followed by  $PI_{max}$  (AUC 0.84) and 6MWD (AUC 0.74), while LVEF was not discriminative

**Table 1. Baseline overall demographic and clinical characteristics.**

Age, years (mean±SD)	57.4±10.4
Male gender, n (%)	128 (50)
Height, cm (mean±SD)	164±9
Weight, kg (mean±SD)	74.3±13.2
Body Mass Index, kg/m <sup>2</sup> (mean±SD)	27.5±3.3
Ischaemic etiology, n (%)	80 (35.0)
NYHA Classes I and II, n (%)	171 (83.4)
NYHA Class I, n(%)	92 (45)
NYHA Class II, n(%)	79 (39)
NYHA Class III, n(%)	29 (14)
NYHA Class IV, n(%)	5 (2)
CABG, n (%)	27 (11.6)
Stroke, n (%)	21 (9.1)
<i>Diabetes mellitus</i> , n (%)	54 (23.3)
Hypertension, n (%)	106 (45.9)
Current medications	
Betablockers, n (%)	163 (70.6)
ACEi or ARB, n (%)	173 (74.9)
Spironolactone, n (%)	59 (25.5)
Use of devices* n (%)	57 (24.0)
Implantable cardioverter-defibrillator	34 (14)
Cardiac resynchronization therapy	4 (2)
Pacemaker	19 (8)
Electrocardiogram	
Atrial fibrillation, n (%)	51 (26.6)
Left bundle branch, n (%)	63 (28.4)
Echocardiography (mean±SD)	
Left atrium diameter, cm	4.9±1.0
LV diastolic diameter, cm	6.7±0.9
LV ejection fraction, %	31.8±8.6
Laboratory tests (mean±SD)	
Creatinine, mg/dL	1.3±0.4
Urea, mg/dL	59.4±29.8
Sodium, mEq/L	140.3±3.7
$PI_{max}$ , kPa (mean±SD)	5.5±1.3
6MWD, m (mean±SD)	372.2±117.9
Peak $VO_2$ , mL/kg/min (mean±SD)	14.9±5.1
RQ (mean±SD)	1.04±0.11

n (%): number of patients and percent of non-missing data; SD: standard deviation; NYHA = New York Heart Association; CABG = Coronary angioplasty bypass; ACEi = Angiotensin-converting enzyme inhibitor; ARB = angiotensin receptor blocker; LV = left ventricle.  $PI_{max}$ : maximal inspiratory pressure; 6MWD: 6-minute walk test distance; RQ = respiratory quotient

\*pacemaker, implantable cardioverter-defibrillator or resynchronization therapy.

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(AUC 0.57).  $PI_{max}$  AUC was greater than 6MWD ( $p = 0.017$ ). Composite models ( $PI_{max}$ +6MWD;  $PI_{max}$ +LVEF; and  $PI_{max}$ +6MWD+LVEF) showed slightly higher AUCs (0.88; 0.85; and 0.89, respectively), without significant differences in discriminating mortality than did  $PI_{max}$  alone ( $p > 0.05$  for paired comparisons). Most accurate cutoffs for  $PI_{max}$  was 5.4kPa

**Table 2. Cox regression analysis of variables of interest for mortality outcome.**

	Univariate			Multivariate		
	X <sup>2</sup>	HR (95% CI)	p	X <sup>2</sup>	HR (95% CI)	p
Peak VO <sub>2</sub>	87.29	0.722 (0.674–0.773)	<0.001	27.40	0.771 (0.699–0.850)	<0.001
$PI_{max}$	79.76	0.530 (0.461–0.609)	<0.001	6.60	0.760 (0.617–0.937)	0.01
6MWD	38.32	0.995 (0.993–0.996)	<0.001	12.77	0.996 (0.993–0.998)	<0.001
LVEF	7.44	0.971 (0.950–0.992)	0.006	12.98	0.951 (0.925–0.977)	<0.001
Use of ACEi/ARB <sup>#</sup>	5.88	1.686 (1.105–2.573)	0.015			0.943
Use of betablockers <sup>#</sup>	3.14	1.461 (0.960–2.221)	0.077			0.075
Creatinine	2.17	1.330 (0.910–1.944)	0.141			0.893
NYHA Classes I and II <sup>#</sup>	0.95	0.759 (0.436–1.322)	0.331			0.698
Ischaemic etiology <sup>#</sup>	0.51	0.861 (0.572–1.297)	0.476			0.190
Atrial fibrillation <sup>#</sup>	0.439	1.213 (0.685–2.148)	0.508			0.984
Age	0.356	0.995 (0.978–1.012)	0.551			0.528
Use of Devices <sup>*#</sup>	0.012	0.973 (0.569–1.591)	0.915			0.887

HR: hazard ratio; CI: confidence interval;  $PI_{max}$ : maximal inspiratory pressure; 6MWD: 6-minute walk test distance; LVEF = left ventricle ejection fraction; ACEi = Angiotensin-converting enzyme inhibitor; ARB = angiotensin receptor blocker; NYHA = New York Heart Association

\*pacemaker, implantable cardioverter-defibrillator or resynchronization therapy.

# Categorical variables.

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(sensitivity 78% and specificity 74%) and for 6MWD, 350m (sensitivity 69% and specificity 72%).  $PI_{max}$  tertiles cutpoints were 6.0kPa (sensitivity 89% and specificity 49%) and the lower was 5.0kPa (sensitivity 64% and specificity 91%).

### Kaplan-Meier analysis

At any time-point, a higher risk of death was observed for the lower  $PI_{max}$  tertile compared to the highest tertile, particularly for the weakest stratum (S2 Fig). Survival times were almost threefold higher if  $PI_{max} > 6kPa$  and more than twice higher if  $PI_{max}$  was between 5 and 6kPa, than it was if  $PI_{max}$  was  $\leq 5kPa$  (Table 3). Similarly, those who could not reach 350m in 6MWD were at higher risk of death than those who reached further distance (S3 Fig).

In the Lowest (Fig 1A) or in the Highest (Fig 1B)  $PI_{max}$  tertiles, no significant difference in survival times was observed when 6MWD performance was added (Table 3). Nevertheless, in the Intermediate tertile (Fig 1C), a worst performance in 6MWD significantly decreased survival time, while the opposite happened if the achieved distance was  $> 350m$ .

Accounting to potential sources of variability, differences in gender, diabetes and stroke prevalences were analyzed separately. Log-rank showed non-significant differences between Kaplan-Meier comparisons of male *versus* female, diabetic *versus* non-diabetic and those with previous stroke *versus* those without, whichever  $PI_{max}$  or 6MWD stratified analysis (S2 Table).

**Table 3. Kaplan-Meier (KM) analysis estimation of mean survival times (in months) for Maximal Inspiratory Pressure and Six Minute Walk Distance Test considering the entire observation period.**

	n (censors)	KM Mean±SE (months)	CI 95%
<b>Maximal Inspiratory Pressure</b>			
≤5.0 kPa	84 (13)	37.86 ± 2.82	(32.32–43.38)
>5.0 and ≤6.0 kPa	85 (58)	81.54 ± 5.55	(70.66–92.41)
> 6.0 kPa	87 (75)	105.02 ± 5.22	(94.78–115.27)
<b>Six Minute Walk Test Distance</b>			
≤ 350m	117 (41)	48.52 ± 3.97	(40.73–56.31)
> 350m	139 (105)	87.60 ± 4.49	(78.79–96.41)
<b>Combinations</b>			
≤5.0 kPa and ≤350m	50 (2)	30.78 ± 2.96	(24.98–36.58)
≤5.0 kPa and >350m	34 (11)	49.86 ± 5.03	(39.99–59.72)
>5.0 and ≤6.0 kPa and ≤350m	34 (14)	53.33 ± 7.59	(38.46–68.20)
>5.0 and ≤6.0 kPa and >350m	51 (44)	103.12 ± 5.72	(91.91–114.33)
>6.0 kPa and ≤350m	33 (25)	90.22 ± 10.96	(68.64–111.70)
>6.0 kPa and >350m	54 (50)	111.68 ± 5.20	(101.48–121.89)
<b>Overall</b>	<b>256 (146)</b>	<b>68.82 ± 3.31</b>	<b>(62.32–75.31)</b>

n: number of patients; SE: standard error; CI: confidence interval.

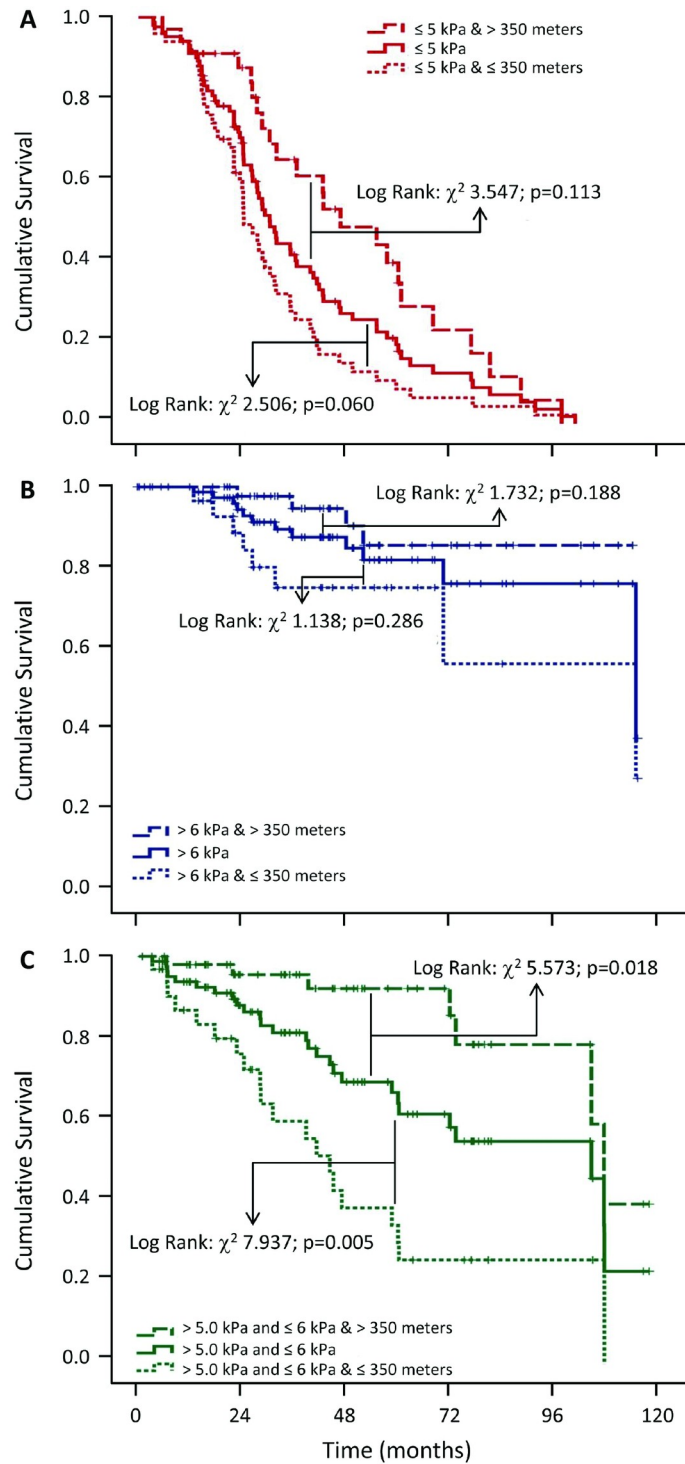
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Distributions of  $pVO_2$ ,  $PI_{max}$ , 6MWD, LVEF were similar between <65 and ≥65 years old groups (S3 Table), and Cox regression showed that the association of each with mortality persisted when adjusting for age group:  $pVO_2$  [HR 0.724 (95%CI 0.676–0.775);  $p < 0.001$ ],  $PI_{max}$  [HR 0.533; (0.463–0.613);  $p < 0.001$ ], 6MWT [HR 0.995 (0.993–0.996);  $p < 0.001$ ], LVEF [HR 0.966 (0.945–0.987);  $p = 0.002$ ].

### Discussion

The main findings of this prospective long-term cohort study with 256 HF patients reinforces that the severity of inspiratory muscle weakness (IMW), measured by  $PI_{max}$ , and shorter walking distance, by 6MWD, proportionally increases mortality risk, but this outcome is more accurately discriminated by the  $PI_{max}$ . Notably, only in patients within the intermediate  $PI_{max}$  tertile (>5.0 and ≤6.0kPa), the combination of 6MWD performance significantly altered mean survival time, improving it if distance was >350m and decreasing it if distance was ≤350m, when compared to the isolated  $PI_{max}$  effect. Within other tertiles, mortality risk from  $PI_{max}$  remained independent of knowing walked distance.

Prognostic value of  $PI_{max}$  has been studied before [7–9, 17]. In the present study, we confirmed that the lower  $PI_{max}$ , the higher mortality in HF with reduced ejection fraction, discriminating risk more precisely than 6MWD or LVEF, but as expected, less accurately than  $pVO_2$ . Discriminatory risk accuracy by AUC (S1 Table), quantifies how separated is the distribution of means between survivors and non-survivors. Indeed, this was demonstrated as distribution of  $pVO_2$  from survivors are mostly separated from non-survivors (9mL/kg/min difference between means, representing 47% variation), followed by  $PI_{max}$  (1.5kPa difference, 25% variation) and 6MWD (93m difference, 23% variation), all with significant  $AUC \geq 0.74$ ; while LVEF had an overlapped distribution among survivors and non-survivors (3 percentage points difference, 9% variation), which poorly discriminated groups ( $AUC 0.57$ ,  $p = 0.07$ ). In contrast to Myers et al[7],  $PI_{max}$  AUC for mortality was higher in our study, where the majority of patients (65%) achieved a  $PI_{max}$  up to 6.0kPa, and 67% was considered to have IMW[13],



Number at risk	0	24	48	72	96	120
$\leq 5.0$ kPa & $> 350m$	38	19	6	2	1	0
$\leq 5.0$ kPa	57	34	13	5	2	0
$\leq 5.0$ kPa & $\leq 350m$	20	15	7	3	1	0
$> 6.0$ kPa & $> 350m$	55	40	23	10	3	1
$> 6.0$ kPa	87	61	32	15	5	2
$> 6.0$ kPa & $\leq 350m$	32	20	10	4	2	1
$> 5.0$ and $\leq 6.0kPa$ & $> 350m$	50	32	17	9	4	1
$> 5.0$ and $\leq 6.0kPa$	88	56	26	14	5	1
$> 5.0$ and $\leq 6.0kPa$ & $\leq 350m$	38	23	9	5	1	0



**Fig 1. Kaplan-Meier survival curves for combined maximal inspiratory pressure and six-minutes walk distance test in low ejection fraction heart failure patients.** Kaplan-Meier analysis shows no statistical differences between  $PI_{max}$  alone or combined to 6MWD, considering its lowest (A) or highest tertiles (B) in survival probability. Significant additive effect of 6MWD was observed only in intermediate  $PI_{max}$  tertile (C).

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showing lower inspiratory strength on average comparing to other studies[7, 8]. This reinforces that, even in more severe IMW,  $PI_{max}$  absolute values independently represent a strong prognostic marker in HF.

Considering possible variations in  $PI_{max}$  absolute values and variable IMW diagnostic criteria, we also extended this analysis in tertiles, for a practical clinical approach.  $PI_{max}$  remained an independent factor in the lowest tertile—with the greatest death risk—and in the highest tertile, with the best prognosis. However, those in the intermediate  $PI_{max}$  tertile could be re-stratified according to 6MWD performance.

The muscle hypothesis[18], a generalized syndrome of muscle dysfunction in chronic HF, might be the main rationale for the cardiopulmonary and skeletal muscle function relationship, and the associated mortality risk in HF. A vicious cycle of inflammation, oxidative stress, and hypoperfusion generates more muscle atrophy and dysfunction, worsening HF[18]. Thus, some functional measurement overlap is expected according to the “muscle hypothesis” concept in HF for  $PI_{max}$ , maximal ( $pVO_2$ ) and submaximal (6MWD) exercise capacity metrics[7], which may impact unequally  $PI_{max}$  subgroups.

Reduced  $pVO_2$  in HF represents a global impairment in cardiac, pulmonary and peripheral muscle systems, which reflects disease severity and is considered a universal prognostic marker[4]. Furthermore, impaired inspiratory power proportionally reduces ventilatory response to stress, precludes adequate pulmonary and peripheral gas exchange, and impairs efficient biochemical metabolites washout[10, 19]. Clinically, IMW is associated with dyspnoea, poor exercise tolerance and reduced functional status in patients with HF[10, 19]. While  $pVO_2$  is a more robust HF severity marker,  $PI_{max}$  can be considered a global “work of breathing” metric in HF, beyond an isolated inspiratory muscle measure[7], and can represent a reasonable alternative to  $pVO_2$  for mortality risk stratification, as demonstrated.

Notably, alterations in structure and function of inspiratory muscles seem more pronounced than in other skeletal muscles with progressive HF[7, 19]. Diaphragm—the main inspiratory muscle—has extrinsic automaticity and is under constant workload, increased in HF, differently from limb muscles which alternate activity/rest cycles[20]. Indeed, chronic adaptation in diaphragm of HF patients differs from limb muscles, where a shift from fast to slow myosin heavy chain isoforms is observed, with an increase in oxidative capacity and a decrease in glycolytic capacity, as a result of increased work of breathing[21]. This particularity is consistent to the independent  $PI_{max}$  performance as a mortality predictor among other functional variables, in our analysis and in others[7, 9]. Additionally, it could partially explain the influence of 6MWD on  $PI_{max}$ . In the intermediate  $PI_{max}$  tertile, damage to inspiratory muscle fibres may be partial and heterogeneously distributed, and addition of the submaximal effort capacity (6MWD) provided significant prognostic information. While in the extremes  $PI_{max}$  tertiles, healthier or severely damaged inspiratory muscle function outperformed 6MWD prognostic value.

## Clinical perspective

IMW is prevalent in HF, present in 30–50% as outpatients[12] and approximately in 70% of elderly patients admitted with acute HF[22]. Routine screening for IMW is recommended in HF [19, 23, 24], however an arbitrary assumption of IMW as <70% of predicted  $PI_{max}$ [12]

may have acceptable sensitivity but lacks specificity as a prognostic parameter. Alternatively, stratification in high, intermediate and low  $PI_{max}$  may help clinicians to estimate HF mortality risk and the necessity of further testing. Inspiratory muscle strength can be measured in an office visit with a handheld device, independently of individual ability to exercise, with high reproducibility. Undoubtedly, key variables from CPX are the most powerful HF prognostic markers. Nevertheless, patients from low income countries or those unable access such technology may be precluded from a more accurate risk assessment and, consequently, from therapeutic adjustments. The 6MWD is a practical and widely used evaluation in HF as an alternative for prognostic assessment, superior to LVEF[25], however, different cohort characteristics, such as age, gender, comorbidities, disabilities lead to different outcome associated cutoffs[6], limiting the generalizability when used alone. Hence,  $PI_{max}$  is a functional prognostic assessment resource, more affordable than  $pVO_2$ , easily obtained, and may help to select those who need further testing for risk stratification.

Absolute value of  $PI_{max}$  has been consistently demonstrated not only as an outcome marker, but also as modifiable risk factor. Although underused, inspiratory muscle training has benefits on exercise capacity, inspiratory muscle strength and dyspnoea[24], particularly in patients who cannot engage in conventional exercise training programs or who are severely deconditioned[26]. Our findings may also be extrapolated to older HF patients, when frailty and disability are more prevalent. We demonstrated that distribution of the main variables was similar to younger patients and the age group did not modify their association with mortality risk; however this analysis could have been underpowered to detect between-group differences. We can speculate that in such patients and in those more severely symptomatic, isolate inspiratory muscle training or combined to other methods, such as electromyostimulation[27, 28], can provide additional benefits to standard care.

### Study limitations

This study has several limitations. First, only 17% of the patients were in NYHA III/IV, probably because of enrollment criteria, however all patients were in AHA stages C or D, and NYHA class did not show association to mortality on Cox regression. Second, potential confounders or mediators were unavailable or not registered at baseline, such as nutritional status, peripheral artery disease, left ventricle diastolic function indices; as well as follow up exposures, such as pharmacologic adjustments, lifestyle changes or surgery. Third, from the CPX, only 67% of patients reached  $RQ \geq 1$ , however, lower RQ seems not to significantly reduce the prognostic power of  $peakVO_2$ [29]; additionally, since our interest was on the relationship of  $PI_{max}$  and  $peakVO_2$ , ventilatory threshold was not registered. Fourth, plasma cardiac biomarkers associated to HF mortality and to other functional variables[30] were unavailable to investigate their prognostic equivalence to  $PI_{max}$ . Fifth, patients were centrally treated for HF, but came from multiple origins in surrounding communities, so, possible misinformation on other outcomes, such as causes of hospitalizations and specific causes of death, was anticipated. Thus, we opted to consider only all-cause mortality for this analysis. Minor missing clinical data persisted after searching in hospital medical records done on paper.

Potential clinical, pharmacological and non-negligible social factors as well, could have influenced underuse of the best evidence therapy with prognosis impact. Although ACEi/ARB, betablockers and spironolactone use at baseline may seem sub-optimal, it is in agreement with larger real-world cohorts[31, 32], showing lower adherence, which supports the generalizability of our findings. Hypotension, renal dysfunction, electrolyte disturbances[33] as well as social issues (accessibility and affordability) may also have contributed, especially with more severe and advanced disease patients in our study. Spironolactone was often introduced after

ACEi/ARB or betablockers and seems to be more sensitive to all such factors, however it is uncertain if increasing its use could influence  $PI_{max}$  prognostic power.

## Conclusion

Our findings embody the evidence that the  $PI_{max}$  is superior to 6MWD or LVEF in HF patients in predicting long term mortality. Its performance is not so robust as  $pVO_2$  but showed reasonable comparability. Additionally, only when  $PI_{max}$  exhibits intermediate values ( $> 5.0$  and  $\leq 6.0$ kPa), combination to 6MWD empowers risk stratification. Although unduly emphasized, evaluation of inspiratory muscle strength is a valuable prognostic parameter and, potentially, a modifiable risk factor in HF patients.

## Supporting information

**S1 Fig. Partial residuals for main variables of interest.**

(PDF)

**S2 Fig. Kaplan-Meier survival curves for maximal inspiratory pressure tertiles in patients with low ejection fraction heart failure.**

(PDF)

**S3 Fig. Kaplan-Meier survival curves for six-minutes walk distance test strata in patients with low ejection fraction heart failure.**

(PDF)

**S1 Table. Area under ROC curve analysis for mortality prediction accuracy (110 deaths) of isolated and combined variables, and their comparisons to  $PI_{max}$  performance.**

(PDF)

**S2 Table. Kaplan-Meier comparison of confounding factors (gender, diabetes and stroke survivors) for  $PI_{max}$  and 6MWD strata.**

(PDF)

**S3 Table. Comparison of the main variables between HF patients younger than 65 years with those 65 years and older.**

(PDF)

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